

Schools Financial Services Compliance Comparison 2015-16 to 2017-18

	2015-16	Pre change 2016-17	Post change 2016-17	Total 2016-17	Pre change 2017-18	Post change 2017-18	Total 2017-18
Total schools tested:	100	32	68	100	22	78	100
Total questions within each compliance visit	104	103	102		102	98	
If process/procedure not in place:							
Total number of HIGH recommendations	58	58	51	109	51	56	107
Total number of MEDIUM recommendations	46	45	51	96	51	42	93
Total number of processes/procedures tested in all schools	10400	3296	6936	10232	2244	7644	9888
Total number of processes/procedures not in place for all schools tested	1188	419	827	1246	276	861	1137
Average % processes/procedures NOT in place	11%	13%	12%	12%	12%	11%	11%

Critical recommendations by Category	2015-16				Pre change 2016-17		Post change 2016-17		Total Questions	Processes Not in Place	%	% Variance 15-16 v 16-17	Pre change 2017-18		Post change 2017-18		Total Questions	Processes Not in Place	%	% Variance 15-16 v 16-17
	Total Questions Per School	Total Questions	Processes Not in Place	%	Total Questions Per School	Total Questions	Total Questions Per School	Total Questions					Total Questions Per School	Total Questions	Total Questions Per School	Total Questions				
Governance & Leadership	6	600	30	5.00%	6	192	6	408	600	52	8.67%	3.67%	6	132	8	624	756	88	11.84%	2.97%
School Development Plan	2	200	3	1.50%	2	64	0	0	64	0	0.00%	-1.50%	2	44	2	156	200	21	0.00%	0.00%
Financial Planning and Monitoring	11	1100	103	9.36%	11	352	10	680	1032	120	11.63%	2.26%	10	220	8	624	844	38	4.50%	-7.13%
Payroll	7	700	104	14.86%	7	224	4	272	496	56	11.29%	-3.57%	4	88	5	390	478	48	10.04%	-1.25%
Procurement	7	700	119	17.00%	7	224	6	408	632	118	18.67%	1.67%	6	132	6	468	600	72	12.00%	-6.67%
Corporate Cards	4	400	91	22.75%	4	128	3	204	332	38	11.45%	-11.30%	1	22	3	234	256	61	23.83%	12.38%
Bank Account and Petty Cash	5	500	50	10.00%	5	160	3	204	364	38	10.44%	0.44%	4	88	8	624	712	41	5.76%	-4.68%
Income	5	500	22	4.40%	5	160	6	408	568	30	5.28%	0.88%	4	88	6	468	556	28	5.04%	-0.25%
Assets and Loans	5	500	78	15.60%	5	160	5	340	500	45	9.00%	-6.60%	5	110	3	234	344	14	4.07%	-4.93%
Data Protection & Security	5	500	7	1.40%	5	160	8	544	704	8	1.14%	-0.26%	9	198	7	546	744	7	0.94%	-0.20%
Health & Safety	1	100	25	25.00%	1	32	0	0	32	0	0.00%	-25.00%	0	0	0	0	0	0	0.00%	0.00%
	58	5800	632		58	1856	51	3468	5324	505			51	1122	56	4368	5490	418		

Requires Action recommendations by category																				
Governance & Leadership	10	1000	129	12.90%	9	288	12	816	1104	169	15.31%	2.41%	12	264	10	780	1044	165	15.80%	0.50%
School Development Plan	1	100	17	17.00%	1	32	0	0	32	9	28.13%	11.13%	1	22	1	78	100	19	19.00%	-9.13%
Financial Planning and Monitoring	7	700	86	12.29%	7	224	4	272	496	55	11.09%	-1.20%	2	44	4	312	356	22	6.18%	-4.91%
Payroll	3	300	32	10.67%	3	96	2	136	232	98	25.00%	14.33%	2	44	1	78	122	70	57.38%	32.38%
Procurement	7	700	100	14.29%	7	224	8	544	768	164	21.35%	7.07%	8	176	8	624	800	184	23.00%	1.65%
Corporate Cards	1	100	7	7.00%	1	32	0	0	32	3	9.38%	2.38%	3	66	0	0	66	53	80.30%	70.93%
Bank Account and Petty Cash	5	500	45	9.00%	5	160	6	408	568	44	7.75%	-1.25%	8	176	2	156	332	68	20.48%	12.74%
Income	3	300	11	3.67%	3	96	11	748	844	136	16.11%	12.45%	6	132	3	234	366	23	6.28%	-9.83%
Assets and Loans	3	300	38	12.67%	3	96	3	204	300	64	21.33%	8.67%	3	66	5	390	456	80	17.54%	-3.79%
Data Protection & Security	1	100	3	3.00%	1	32	2	136	168	1	0.60%	-2.40%	3	66	5	390	456	8	1.75%	1.16%
Health & Safety	5	500	88	17.60%	5	160	3	204	364	38	10.44%	-7.16%	3	66	3	234	300	27	9.00%	-1.44%
	46	4600	556		45	1440	51	3468	4908	741			51	1122	42	3276	4398	719		